## **CONVEXITY II**

## SPRING 2000

## TEST 1

In order to get full credit, all answers must be accompanied by appropriate justifications.

**1.** Let  $K_1, \ldots, K_4$  be convex bodies in  $\mathbb{E}^n$ . Prove that

 $D(\operatorname{conv}(K_1 \cup K_2), \operatorname{conv}(K_3 \cup K_4)) \leq D(K_1, K_3) + D(K_2, K_4).$ 

where D denotes the Hausdorff metric.

Choose  $\rho > 0$  so that  $K_1 \subset K_3 + \rho B$  and  $K_2 \subset K_4 + \rho B$ . If  $z \in \operatorname{conv}(K_1 \cup K_2)$ there are  $x \in K_1$ ,  $y \in K_2$  and  $0 \leq \lambda \leq 1$  such that  $z = \lambda x + (1 - \lambda)y$  (perhaps this should be proved since it requires the convexity of  $K_1$ ,  $K_2$  and is not true in general). If  $x \in K_1$  there is a  $v_1 \in \rho B$  and a  $k_3 \in K_3$  such that  $x = k_3 + v_1$ . If  $y \in K_2$  there is a  $v_2 \in \rho B$  and a  $k_4 \in K_4$  such that  $y = k_4 + v_2$ . Now  $z = \lambda k_3 + (1 - \lambda)k_4 + \lambda v_1 + (1 - \lambda)v_2 \in \operatorname{conv}(K_3 \cup K_4) + \rho B$ . Consequently  $\operatorname{conv}(K_1 \cup K_2) \subset \operatorname{conv}(K_3 \cup K_4) + \rho B$ . Similarly, if  $K_3 \subset K_1 + \rho B$  and  $K_4 \subset K_2 + \rho B$ we can deduce that  $\operatorname{conv}(K_3 \cup K_4) \subset \operatorname{conv}(K_1 \cup K_2) + \rho B$ . The combination of these two observations shows that

 $D(\operatorname{conv}(K_1 \cup K_2), \operatorname{conv}(K_3 \cup K_4)) \leq \max\{D(K_1, K_3), D(K_2, K_4)\} \\ \leq D(K_1, K_3) + D(K_2, K_4).$ 

**2.** Assume that the convex bodies  $K_1, K_2, \ldots$ , in  $\mathbb{E}^n$ , converge to K with dim K = n. Let  $p \in \text{int } K$ . For each  $i = 1, 2, \ldots$  let  $\alpha_i = \sup\{\alpha \ge 0 : \alpha(K_i - p) + p \subset K\}$  and put  $D_i = \alpha_i(K_i - p) + p$ . Prove that  $\alpha_i \to 1$  and  $D_i \to K$  as  $i \to \infty$ .

We may choose r > 0 so that  $2rB + p \subset K$  and note that  $h_K(u) > \langle p, u \rangle + r$  for all unit vectors u. Furthermore, we know that  $h_{K_i} \to h_K$  uniformly on the set of unit vectors as  $i \to \infty$ . It follows that we may assume  $h_{K_i}(u) > \langle p, u \rangle + r$  for all unit vectors u, or, equivalently,  $K_i \supset rB + p$  for all i. For convenience, we put  $f_i = h_{K_i} - \langle p, \cdot \rangle$  for  $i = 1, 2, \ldots$  and  $f = h_K - \langle p, \cdot \rangle$ . Clearly,  $f_i \to f$  uniformly on the set of all unit vectors as  $i \to \infty$ , and f(u) > r,  $f_i(u) > r$  for all i and all unit vectors u. It follows that  $f_i/f \to 1$  uniformly on the set of all unit vectors as  $i \to \infty$ . Note that  $\alpha_i = \inf\{f(u)/f_i(u) : \|u\| = 1\}$ . It follows that  $\alpha_i \to 1$  as  $i \to \infty$ . To finish, note that  $h_{D_i} = \alpha_i f_i + \langle p, \cdot \rangle \to f + \langle p, \cdot \rangle = h_K$  uniformly on the set of unit vectors as  $i \to \infty$ . Thus  $D_i \to K$  as  $i \to \infty$ .

- **3.** Let  $K, K_1, K_2, \ldots$  be convex bodies in  $\mathbb{E}^n$ . Prove that  $K_i \to K$  as  $i \to \infty$  if and only if the two following conditions are true:
  - **a).** each point of K is the limit of a sequence  $(x_i)_{i=1}^{\infty}$  with  $x_i \in K_i$ , for each  $i = 1, 2, \ldots$ ;
  - **b).** the limit of any convergent sequence  $(x_{i_j})_{j=1}^{\infty}$  with  $x_{i_j} \in K_{i_j}$ , for each  $j = 1, 2, \ldots$  is in K.

First, assume that  $K_i \to K$  as  $i \to \infty$ . Let  $x \in K$  and put  $x_i = p(K_i, x)$  for  $i = 1, 2, \ldots$  Then  $x_i \in K_i$  and  $d(x, x_i) = d(\{x\}, K_i) \leq D(K, K_i)$ . Hence  $x_i \to x$ , and so **a**) is true. If  $x_{i_j} \to x$  as  $j \to \infty$  and  $x \notin K$ , there is an r > 0 such that  $(rB + x) \cap (K + rB) = \emptyset$ . This is impossible, since, if j is sufficiently large, then  $d(x_{i_j}, x) < r$  and  $x_i \in K_{i_j} \subset K + rB$ .

Conversely, assume a) and b) both hold and let  $\epsilon$  be given. We will show that

$$K \subset K_i + \epsilon B$$
 if *i* is sufficiently large (1)  
 $K_i \subset K + \epsilon B$  if *i* is sufficiently large (2)

If (1) were false, there would be a sequence  $(x_{i_j})_{j=1}^{\infty}$  with  $x_{i_j} \in K$  and  $d(x_{i_j}, K_{i_j}) \geq \epsilon$  for each j. The compactness of K allows us to assume that  $x_{i_j} \to x \in K$  as  $j \to \infty$ . By **a**) there is a sequence  $y_{i_j} \in K_{i_j}$  with  $y_{i_j} \to x$  as  $j \to \infty$ . But then  $d(x_{i_j}, y_{i_j}) \to 0$  as  $j \to \infty$ , which contradicts the fact that  $d(x_{i_j}, K_{i_j}) \geq \epsilon$  for each j. So we have proved that (1) is true. If (2) were false there would be a sequence  $(x_{i_j})_{j=1}^{\infty}$  with  $x_{i_j} \in K_{i_j}$  and  $d(x_{i_j}, K) \geq \epsilon$  for each  $j = 1, 2, \ldots$ . We can use **a**) to choose  $y_{i_j} \in K_{i_j} \cap (K + \epsilon B)$  for each  $j = 1, 2, \ldots$ . The convexity of  $K_{i_j}$  therefore allows us to assume that  $d(x_{i_j}, K) = \epsilon$  for  $j = 1, 2, \ldots$  and therefore that the sequence converges. According to **b**) the limit must be in K, but this contradicts the fact that  $d(x_{i_j}, K) = \epsilon$  for  $j = 1, 2, \ldots$ .

4. In  $\mathbb{E}^3$ , let  $L_1$  be the closed line segment joining the origin to the point (1,0,0), let  $L_2$  be the closed line segment joining the origin to (0,1,0) and let  $L_3$  be the closed line segment joining the origin to (1,0,1). Let P be the vector sum of these three line segment. For  $\rho \ge 0$  we put  $P_{\rho} = P + \rho B$ , where B is the closed unit ball in  $\mathbb{E}^3$ . Express the volume of  $P_{\rho}$  as a polynomial in  $\rho$ .

*P* is a paralleloptope with four edges parallel to each of the line segments  $L_1$ ,  $L_2$ ,  $L_3$ . If  $x \in P_{\rho}$  there are four disjoint cases to consider. **a**). The volume of those  $x \in P_{\rho}$  for which  $x \in P$  is V(P) = 1. **b**). The volume of those  $x \in P_{\rho}$  for which  $x \neq p(P, x)$  and p(P, x) is a relative interior point of a facet of P is  $\rho$  times the surface area of P (this was a homework question). There are four facets of area 1 and two of area  $\sqrt{2}$ , so these points x have volume  $(4 + 2\sqrt{2})\rho$ . c). We now consider those points  $x \in P_{\rho}$  for which  $x \neq p(P, x)$  and p(P, x) is a relative interior point of an edge of P. There are four regions of such points x for which p(P, x) is in an edge parallel to  $L_1$ . These four regions can be translated to form a set whose volume is that of a cylinder of radius  $\rho$  and length equal to the length of  $L_1$ . Their volume is therefore  $\pi \rho^2$ . Similarly, the volume of those points for which the nearest point is a relative interior point of an edge parallel to  $L_2$ , or  $L_3$  is  $\pi \rho^2$  or  $\pi \rho^2 \sqrt{2}$ , respectively. d). Finally, we consider those points  $x \in P_{\rho}$  for which  $x \neq p(P, x)$  and p(P, x) is a vertex of P. The volume of such points x is the volume of a sphere of radius  $\rho$  and is therfore  $4\pi \rho^2/3$ . Putting these results together shows that the volume  $V(P_{\rho})$  of  $P_{\rho}$  is

$$V(P_{\rho}) = 1 + (4 + 2\sqrt{2})\rho + (2 + \sqrt{2})\rho^{2} + \frac{4\pi}{3}\rho^{3}.$$