**1.** Let  $\mathbb{R}'$  be the set  $\mathbb{R}$  with the topology given by the basis  $\mathcal{B} = \{[a,b) \mid a < b \text{ and } a, b \in \mathbb{Q}\}$ . Determine the closures of the following sets in  $\mathbb{R}'$ :

(a)  $A = (0, \sqrt{2})$ 

**(b)**  $B = (\sqrt{2}, 3)$ 

SOLUTION.

(A)  $\overline{A} = [0, \sqrt{2}]$ . We claim that 0 and  $\sqrt{2}$  are limit points of A, and that no other points outside of A are limit points. Every basic open neighborhood of 0 has the form [a, b) with a, b rational and  $a \leq 0 < b$ . Then b/2 is in the intersection  $[a, b) \cap A$ , so 0 is a limit point. Every basic open neighborhood of  $\sqrt{2}$  has the form [a, b) with a, b rational and  $a < \sqrt{2} < b$ , because  $\sqrt{2}$  is irrational. Now any number x between a and  $\sqrt{2}$  is in the intersection  $[a, b) \cap A$ , and so  $\sqrt{2}$  is a limit point.

If  $x > \sqrt{2}$  then there is a rational r between x and  $\sqrt{2}$ , so [r, x + 1) is a neighborhood of x disjoint from A. If x < 0 then [r, 0) is a neighborhood of x disjoint from A, for any rational r < x.

(B)  $\overline{B} = [\sqrt{2}, 3)$ . We claim that  $\sqrt{2}$  is the only limit point of B outside of B. Every basic open neighborhood of  $\sqrt{2}$  has the form [a, b) with a, b rational and  $a < \sqrt{2} < b$ . Let x be a rational between  $\sqrt{2}$  and b, and less than 3. Then x is in  $[a, b) \cap B$ , so  $\sqrt{2}$  is a limit point.

If  $x \ge 3$  then [x, x + 1) is a neighborhood of x disjoint from B. If  $x < \sqrt{2}$  then there exist rational numbers r, s such that  $r < x < s < \sqrt{2}$ . Then [r, s) is a neighborhood of x that is disjoint from B.

**2.** Prove the *Tube Lemma*: Consider the product space  $X \times Y$  where Y is compact. If N is an open set of  $X \times Y$  containing the subset  $x_0 \times Y$ , then  $x_0$  has a neighborhood W in X such that  $W \times Y$  is contained in N.

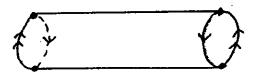
SOLUTION. This is Lemma 26.8 of Munkres.

**3.** Prove the following lemma: if  $f: X \to Y$  is a continuous injective map and X is compact and Y is Hausdorff, then f is an embedding.

SOLUTION. Let  $g: X \to f(X)$  be the same as f, but with restricted range. Now g is a continuous bijection. Note that f(X) is Hausdorff since it is a subspace of the Hausdorff space Y. We need to show that  $g^{-1}$  is continuous for f to be an embedding.

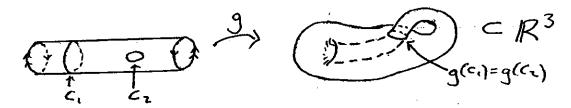
Let  $C \subset X$  be a closed set. Since X is compact, so is C. Since g is continuous, g(C) is compact. Since f(X) is Hausdorff, g(C) is closed in f(X). This shows  $g^{-1}$  is continuous.

**4.** Let  $r: S^1 \to S^1$  be a reflection of the circle (e.g.  $(x, y) \mapsto (-x, y)$  in the plane). The Klein bottle K is the quotient space of  $[0, 1] \times S^1$  under the following equivalence relation:  $(0, z) \sim (1, r(z))$  for all  $z \in S^1$ , and (t, z) is not equivalent to anything except itself, for  $t \neq 0, 1$ . [That is, glue one boundary circle to the other, using the reflection r to join them. The reflection means that you won't get a torus.]



## (a) Explain why K is compact.

(b) Let  $C_1 \subset K$  be (the image of) the circle  $\{\frac{1}{3}\} \times S^1$ , and let  $C_2 \subset K$  be a small embedded circle inside  $(\frac{1}{2}, \frac{3}{4}) \times S^1$  as in the picture. There is a continuous map  $g: K \to \mathbb{R}^3$  as shown in the picture, which is *almost* injective. Specifically, the restriction of g to  $K - C_1$  is injective, and so is the restriction to  $K - C_2$ .



Assuming g exists as described, use Urysohn's Lemma to construct a continuous map of K into  $\mathbb{R}^3 \times \mathbb{R} = \mathbb{R}^4$  which is an embedding. You may assume that K is Hausdorff.

SOLUTION.

(A) We know the spaces [0, 1] and  $S^1$  are compact, so their product  $[0, 1] \times S^1$  is also compact. From the definition of K, there is a quotient map  $p: [0, 1] \times S^1 \to K$ . Quotient maps are continuous and surjective, so K is the image under p of the compact space  $[0, 1] \times S^1$ . Hence K is compact. (So: every quotient space of a compact space is compact.)

(B) Since K is Hausdorff and  $C_1$  and  $C_2$  are homeomorphic to  $S^1$  and therefore are compact, we can conclude that  $C_1$  and  $C_2$  are closed sets in K. They are also disjoint. Since K is compact and Hausdorff, it is normal, and so the Urysohn Lemma applies. It says there is a continuous function  $f: K \to [0, 1]$  which takes  $C_1$  to  $\{0\}$  and  $C_2$  to  $\{1\}$ . Composing with the inclusion map  $[0, 1] \to \mathbb{R}$ , there is a continuous function  $f': K \to \mathbb{R}$  with  $f'(C_1) = \{0\}$  and  $f'(C_2) = \{1\}$ .

Define  $h: K \to \mathbb{R}^3 \times \mathbb{R}$  by h(x) = (g(x), f'(x)). This is continuous, since its coordinate functions g and f' are continuous. Also, h is injective. Consider two distinct points  $x, y \in K$ . If x and y are in  $C_1$  and  $C_2$ , then  $f'(x) \neq f'(y)$  and so  $h(x) \neq h(y)$ . Otherwise, at least one of  $C_1, C_2$  does not contain x or y. Without loss of generality suppose  $C_1$  does not contain x or y. Then  $x, y \in K - C_1$ , and g is injective on this subset. So,  $g(x) \neq g(y)$  and hence  $h(x) \neq h(y)$ .

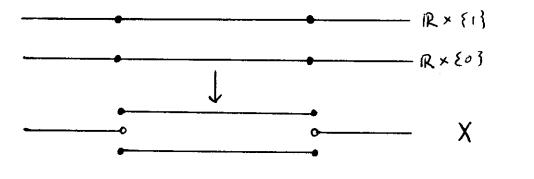
Finally, since h is a continuous injective map from a compact space to a Hausdorff space, it is an embedding by problem 3.

5. Let X be the quotient space obtained from  $\mathbb{R} \times \{0,1\}$  by identifying  $x \times 0$  with  $x \times 1$  for every number x with |x| > 1. [You may want to draw a picture. Think about which sets in X are open sets in the quotient topology.]

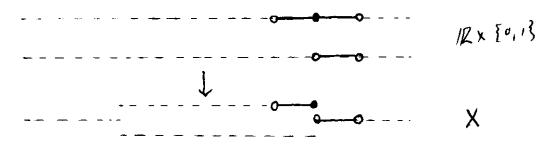
(a) Does X satisfy the  $T_1$  axiom? Why or why not?

(b) Is X Hausdorff? Why or why not?

SOLUTION. This problem is quite tricky. The key is to understand the open neighborhoods of the points of X corresponding to  $\pm 1 \times 0$  and  $\pm 1 \times 1$ . Remember, open sets in X are the images of *saturated* open sets in  $\mathbb{R} \times \{0, 1\}$ . Here is a picture of the underlying set for X:



Here is a small open neighborhood of  $[1 \times 1]$  (explanation in (b) below):



All points other than the four "corners" have neighborhoods homeomorphic to ordinary intervals.

(A) To show that X is a  $T_1$  space, let x and y be distinct points in X. If their  $\mathbb{R}$ -coordinates differ (note, X is not a product, but the  $\mathbb{R}$ -coordinate still makes sense) then they can in fact be separated by open sets (as in the Hausdorff property). If x and y are of the form  $\{[r \times 0], [r \times 1]\}$  with |r| < 1 then they can be separated by disjoint open neighborhoods which are the images of the sets  $U \times \{0\}$  and  $U \times \{1\}$  for some  $U \subset (-1, 1)$ .

If  $\{x, y\} = \{[1 \times 0], [1 \times 1]\}$  then each of x, y has a neighborhood, as in the picture above, not containing the other. Similarly for  $\{x, y\} = \{[-1 \times 0], [-1 \times 1]\}$ .

(B) X is not Hausdorff. Use the points  $[1 \times 0]$  and  $[1 \times 1]$ . Every neighborhood of  $[1 \times 1]$  must contain a set as shown in the picture. Its preimage in  $\mathbb{R} \times \{0, 1\}$  contains an interval  $(1-\epsilon, 1+\epsilon) \times \{1\}$  around  $1 \times 1$ , and since it is saturated, it must also contain  $(1, 1+\epsilon) \times \{0\}$ . Neighborhoods of the point  $[1 \times 0]$  must contain a similar set. Two such neighborhoods will always have points in common.

**6.** Let X be a compact metric space and suppose that  $f: X \to X$  is an *isometry*: d(f(x), f(y)) = d(x, y) for all  $x, y \in X$ . Prove that f is a homeomorphism. [Hint for surjectivity: if not, construct a sequence having no limit point.]

SOLUTION. For injectivity, if f(x) = f(y) then d(f(x), f(y)) = 0. Hence d(x, y) = 0 which implies that x = y.

For continuity, note that given  $x \in X$  and  $\epsilon > 0$ , let  $\delta = \epsilon$ . Then,  $d(x,y) < \delta$  implies  $d(f(x), f(y)) < \epsilon$  because d(x, y) = d(f(x), f(y)). Hence f is continuous (by the metric space characterization of continuity).

Note that X is Hausdorff, being a metric space. So compact sets are the same as closed sets. Since f is continuous, f(C) is compact, and closed. If f is not surjective, then X - f(X) is a non-empty open set. Pick a point  $z \in X - f(X)$  and an open ball  $B(z, \delta)$  disjoint from f(X).

Define  $z_1 = f(z)$ ,  $z_2 = f(z_1)$ ,  $z_3 = f(z_2)$ , and so on. For any m < n we have

$$d(z_m, z_n) = d(z_{m-1}, z_{n-1}) = \dots = d(z, z_{n-m})$$

and the latter distance is at least  $\delta$  because  $z_{n-m} \in f(X)$ . Thus, every pair of points in the set  $\{z_i \mid i \in \mathbb{Z}_+\}$  has distance  $\delta$  or greater. This is an infinite subset of a compact space which has the discrete topology, which is a contradiction. Hence, f is surjective.

Finally, since f is a bijection, it is a homeomorphism by problem 3. (Alternatively,  $f^{-1}$  is continuous for the same reason as f.)

**7.** Let  $A \subset \mathbb{R}^{\omega}$  be defined by

 $A = \{ (x_i) \in \mathbb{R}^{\omega} \mid x_i = 0 \text{ for all but finitely many } i \}.$ 

(a) Prove that A is dense in  $\mathbb{R}^{\omega}$  with the product topology.

(b) Prove that A is not dense in  $\mathbb{R}^{\omega}$  with the box topology.

SOLUTION.

(A) Let  $(x_i)$  be a point in  $\mathbb{R}^{\omega}$  and let  $U = \prod_i U_i$  be a basic open neighborhood of  $(x_i)$ . Then  $U_i = \mathbb{R}$  for all but finitely many *i*. Define the point  $(y_i)$  by letting  $y_i = 0$  if  $U_i = \mathbb{R}$  and  $y_i = x_i$  otherwise. Then  $(y_i)$  is in U and in A. Hence  $(x_i)$  is a limit point of A. Since  $(x_i)$  was arbitrary, A is dense.

(b) In the box topology the set

$$U = (1,2) \times (1,2) \times (1,2) \times \cdots$$

is an open set, and it is non-empty. It is also disjoint from A, since no point of U has any zero coordinates. Hence, A is not dense; every point of U fails to be a limit point of A.